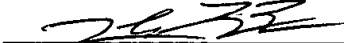


**PATENT**  
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<p align="center"><b>CERTIFICATE OF EXPRESS MAIL</b> <b>UNDER 37 C.F.R. §1.10</b></p> <p>"Express Mail" mailing label number: <u>EL764354328US</u> DATE OF DEPOSIT: <u>May 4, 2001</u></p> <p>I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to:</p> <p align="center">Commissioner for Patents Box Patent Application Washington, DC 20231</p> <p align="center"> Derrick Brown</p>
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**PROCESS FOR TREATING SEMECTITE  
CLAYS TO FACILITATE EXFOLIATION**

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# PROCESS FOR TREATING SMECTITE CLAYS TO FACILITATE EXFOLIATION

## FIELD OF THE INVENTION

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This invention relates generally to smectite clays, and more specifically relates to a process for treating such clays in order to facilitate exfoliation, a phenomenon which is particularly significant in the preparation of clay-polymer nanocomposites.

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## BACKGROUND OF THE INVENTION

As utilized in the present specification, the term "smectite" or "smectite-type clays" refers to the general class of clay minerals with expanding crystal lattices, with the exception of vermiculite. This includes the dioctahedral smectites which consist of  
15 montmorillonite, beidellite, and nontronite, and to the trioctahedral smectites, which includes saponite, hectorite, and sauconite. Also encompassed are smectite-clays prepared synthetically, e.g. by hydrothermal processes as disclosed in U.S. Patents Nos. 3,252,757; 3,586,468; 3,666,407; 3,671,190; 3,844,978; 3,844,979; 3,852,405; and 3,855,147.

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For a number of years interest has been developing in processes which are useful in producing composite materials composed of a organic polymer and a smectite-type clay mineral, with the mineral being connected to the polymer through ionic or other bonding. For example, in Japan Laid Open Application S51(76)-109998 (owned by  
25 Unichika, K.K. ) and entitled "Method for Manufacturing a Clay-Polyamide Composite", a method is disclosed for manufacturing a clay-polyamide composite characterized by carrying out the polymerization of lactam in the presence of a clay-organic compound composite made by carrying out ion exchange to bond an organic compound which contains at least one amino group and has the catalyst effect of  
30 polymerizing the lactam and clay. The organic compounds mentioned include omega-aminocaproic acid, a nylon salt, hexamethylenediamine, and aminodecanoic acid. The lactams include epsilon-caprolactam and others such as omega-

enantolactam, omega-capryllactam, and omega-lauro lactam. The clays used include the montmorillonite group of clay minerals such as montmorillonite, hectorite, etc; and other clays are listed. Montmorillonite is preferred because of the high exchange capacity. The composite is made by first ion exchanging the clay with the organic

5 compound under aqueous conditions, after which the which the suspension is washed, filtered and dried, then crushed. (This is essentially the conventional procedure for preparing a conventional organophilic clay, i.e. an "organoclay".) The "organoclay" and lactam are mixed, with the organoclay being 10 to 75 wt% of the mixture.

During mixing the mixture is brought to 80-100 deg C to melt the lactam.

10 Polymerization is carried out at 240 to 260 deg C. In the resulting composite product it is stated that the silicate layer has a thickness of 9.6 Angstroms. In a first example the interlayer distance of the organoclay layers before polymerization was 3.4 Angstroms, and 13.1 Angstroms after polymerization. In Example 4 the interlayer distance was 6.5 Angstroms before polymerization, and 50.6 Angstroms after

15 polymerization. The composite produced in this publication is stated to have good fire-retardant properties, and improved mechanical properties. Related disclosures are found in U.S. Patents Nos. 4,739,007; 4,810,734; and 4,889,885.

The phase dispersions exhibited by the composite materials thus far discussed are  
 20 relatively coarse, and differ materially in this respect from nanocomposites. The latter are a relatively new class of materials which exhibit ultrafine phase dimensions, typically in the range 1-1000nm. Experimental work on these materials has generally shown that virtually all types and classes of nanocomposites lead to new and improved properties when compared to their micro- and macrocomposite  
 25 counterparts. The number of nanocomposites based on smectite-type clays and linear thermoplastics is growing. Wang and Pinnavaia, e.g., have recently reported delamination of an organically modified smectite in an epoxy resin by heating an onium ion exchanged form of montmorillonite with epoxy resin to temperatures of 200-300°C. Chemistry of Materials, vol. 6, pages 468-474 (April, 1994). A further  
 30 example appears in United States Patent No. 5,554,670, where an epoxy-silicate nanocomposite is disclosed which is prepared by dispersing an organically modified

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smectite-type clay in an epoxy resin together with diglycidyl ether of bisphenol-A (DGEBA), and curing in the presence of either nadic methyl anhydride (NMA), and/or benzyldimethyl amine (BDMA), and/or boron trifluoride monoethylamine (BTFA) at 100-200°C. Molecular dispersion of the layered silicate within the crosslinked epoxy matrix is obtained, with smectite layer spacings of 100Å or more and good wetting of the silicate surface by the epoxy matrix. Additional recent references evidencing the increasing interest in nanocomposites incorporating organoclays in polymer matrices include United States Patents Nos. 5,164,440; 5,385,776; 5,552,469; and 5,578,672.

In a typical procedure for preparing a nanocomposite, the smectite clay, most commonly a montmorillonite, is treated with an organic ammonium ion to intercalate the organic molecule between the silicate layers of the clay, thereby substantially swelling or expanding the interlayer spacing of the smectite. Thereafter the expanded silicate layers are separated or exfoliated in the presence of or with the assistance of a polymer with which reactive groups on the intercalated organic molecule are compatible. A monomer can also be used which is polymerized after being intermixed with the intercalated clay. High shear mixing may be used as part of the exfoliation process.

#### SUMMARY OF THE INVENTION

Now in accordance with the present invention, it has unexpectedly been discovered that edge treatment of smectite clays, such as montmorillonite, with negatively charged organic molecules, increases the exfoliation efficiency achieved in preparing nanocomposites. While it has heretofore been known to subject smectite clays to surface treatment incident to preparing a nanocomposite; and while it is also known to edge treat a smectite clay with negatively charged polyanions where the treated clay is subsequently used as a reinforcing filler in rubber and the like (see United States Patent No. 2,883,356); it has not to my knowledge been known to utilize such edge treatment to augment exfoliation of smectites in the preparation of nanocomposites.

## BRIEF DESCRIPTION OF DRAWINGS

In the drawings appended hereto:

5

FIGURES 1 through 8 are wide angle X-ray scan patterns for clay-polymer control samples and for clay-polymer samples prepared in accordance with the invention.

## DETAILED DESCRIPTION OF THE INVENTION

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Preferably, the smectite clay in the present invention is a natural or synthetic clay mineral selected from the group consisting of hectorite, montmorillonite, bentonite, beidelite, saponite, stevensite and mixtures thereof. A particularly preferred choice for the smectite is montmorillonite. The clay edges are treated with an anionic  
 15 polymer, such as a polyacrylate. A moderately high charge polymer is used, which becomes strongly attached to the clay edges, making them strongly anionic. It is well known in the art of preparing organophilic clays that under appropriate conditions an organic compound which contains a cation will react by ion exchange with clays which contain a negative layer lattice and exchangeable cations to form the  
 20 organoclay products. In the present invention when an alkyl quaternary ammonium cation is subsequently added, the quaternary cation will complex not only with the clay basal surfaces, but also with the edges. This results in a clay particle with a relatively uniform, hydrophobic coating. This leads to improved dispersibility in the plastic matrix of the nanocomposite, and the resulting improved properties of the  
 25 latter. Since polyacrylates can be easily converted to polyacrylamides with a wide variety of alkyl groups attached to the nitrogen, such polymers can be readily modified to optimize the dispersion of the smectites in plastics.

In a preferable procedure for practicing the invention, the smectite mineral is crushed,  
 30 ground, slurried in water and screened to remove grit and other impurities. The component mineral can then be subjected as a dilute (1 to 6 % solids) aqueous slurry

to high shearing in a suitable mill. Most preferred for use in this shearing step is a homogenizing mill of the type wherein high speed fluid shear of the slurry is effected by passing the slurry at high velocities through a narrow gap, across which a high pressure differential is maintained. This type of action can e.g. be effected in the

5 well-known Manton-Gaulin "MG") mill, which device is sometimes referred to as the "Gaulin homogenizer". Reference may be made to commonly assigned U.S. patents Nos. 4,664,842 and 5,110,501 for further details of such mill. The conditions for use of the MG mill may in the present instance be substantially as in the said patents; e.g. the said pressure differential across the gap is preferably in the range of from 70,300

10 to 562,400 g/cm<sup>2</sup> with 140,600 to 351,550 g/cm<sup>2</sup> being more typical in representative operations. Depending upon the specifics of the equipment, pressures higher than 562,400 g/cm<sup>2</sup> can readily be used. The slurry to be treated may be passed one or more times through the MG mill. Among additional instrumentalities which can be effectively utilized in the present invention to provide high shearing of the clay

15 components, is the rotor and stator arrangement described in commonly assigned U.S. Patent No. 5,160,454.

The polyacrylate which is used to coat the clay edges is applied to the mineral prior to the high shearing step. The polyacrylate is added at a dosage rate of about 0.1

20 to 1.0% by weight of the dry clay, with 0.5% by weight being typical. Following the shearing the excess charge on the edges as well as the clay surface charge may be reacted with the quaternary. Among typical polyacrylates which may be used are the Alcogum SL-76 or SL-78 products of Alco Chemical Division of National Starch and Chemical Company, the JARCO M-25B product of Jarco Chemical Co., and various

25 polyacrylate products of Allied Colloids.

When used in composites such as nanocomposites, the organoclay compositions of the invention yield unexpected improvements in the mechanical and other properties of the composite, including with respect to tensile strength, tensile modulus and flex

30 modulus, all of which are highly significant attributes for the plastics and similar formulators.

The treated clays of the invention can be used in preparing nanocomposites by any of the methods which are set forth in the prior referenced patents, and with a large variety of polymerizable resins such as polyamide, epoxy, polyvinyl, polyacrylamide, etc.

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The invention will now be illustrated by examples, which are to be regarded as illustrative and not delimitative of the invention. Unless indicated to the contrary, all parts and percentages are by weight.

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#### Examples 1 to 8

Eight nanocomposite samples A through H were prepared in which a mineral clay was dispersed in a nylon 6,6 resin matrix. The resin used was the VXP-12 product of Solutia Inc. In preparing the samples, purified slurries of the montmorillonite clay were either mixed or not mixed with a polyacrylate of the type described above, and the samples were then subjected to high shear by being passed as dilute aqueous slurries through an MG mill. With two exceptions the sheared samples were then treated with an alkyl quaternary ammonium compound. The quaternary compound used for these latter samples was a branched chain quaternary ammonium compound of the type disclosed in United States Patent No. 5,739,087, more specifically being a dimethyl hydrogenated tallow -2-ethyl hexyl ammonium methylsulfate. The treated slurries were then dewatered, and the resulting clay cake was dried and ground. In each instance the resulting powder was mixed with pellets of the polymer, melted and blended in an O-M twin screw mixer-extruder, and the extruded samples were permitted to solidify. The final resulting samples were then subjected to wide angle X-ray microscopy (WAX).

The treatment patterns for the eight samples is set forth in Table I below:

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Table I

Clay Sample	% Clay by wt.	Edge Treatment with Polyacrylate	Surface Treatment with Quat
A	7.3	No	Yes
B	4.3	No	Yes
C	6.4	Yes	No
D	4.7	Yes	No
E	5.4	No	Yes
F	3.4	Yes	Yes
G	4.6	No	Yes
H	4.6	Yes	Yes

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The patterns obtained for samples A and B appear in Figures 1 and 2. Both samples A and B have been surface treated but not edge treated. In sample A the clay is present as 7.3% by weight; in sample B the clay is 4.2% by weight. There are clear first and second order reflection peaks in Figure 1 indicating incomplete exfoliation. The peaks are much less pronounced in Figure 2. The exfoliation of the clay particles is actually quite good in Figure 2, with the sample B showing a clay platelet separation of at least 70Å.

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The patterns obtained for samples C and D appear in Figures 3 and 4. These samples have been edge treated but not surface treated. In sample C the clay is present as 6.4% by weight; in sample D the clay is 4.7% by weight. There are evident reflection peaks in both Figures 3 and 4. While it will be clear that there is less exfoliation of the clay particles in both samples C and D than in samples A and B, there is still a rather surprising degree of exfoliation.

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The patterns obtained for samples E and F appear in Figures 5 and 6. The clay in sample E has been surface treated but not edge treated. Sample F has been edge treated with polyacrylate, and surface treated with the quat. In sample E the clay is present as 5.4% by weight; in sample F the clay is 3.4% by weight. It will be evident  
5 that much improved exfoliation is present with sample F.

The patterns obtained for samples G and H appear in Figures 7 and 8. Sample G like sample E, has been surface treated, but has not been edge treated by the polyacrylate. Sample H, like sample F has been both surface treated and edge treated. In sample G  
10 the clay is present as 5.8% by weight; in sample H the clay is 4.6% by weight  
Excellent exfoliation is again present with sample H.

While the present invention has been described in terms of specific embodiments thereof, it will be understood in view of the present disclosure, that numerous  
15 variations upon the invention are now enabled to those skilled in the art, which variations yet reside within the scope of the present teaching. Accordingly, the invention is to be broadly construed, and limited only by the scope and spirit of the claims now appended hereto.